

Mandates Raised in Discussions with School Administrators, Board Members and the Public

No.	Mandates ¹	State Law, Federal Law or Regulation	Dedicated Funding Provided ⁵
Administration			
1	Shared Decision Making Team	Regulation	None
2	Comprehensive Attendance Program	Regulation	None
3	Joint Intervention Teams and Distinguished Educators	State	None
4	Medicaid Informed Consent Requirements	Federal	None
5	Annual Census	State	None
6	3020a Process	State	None
7	Master's Degree Required for Teacher Certification	Regulation	None
8	Required Oaths	State	None
9	Prohibition of Advertising	Regulation	None
10	Bidding and Purchasing Requirements (Multiple bids)	State	None
11	Lack of flexibility in using textbook, library materials and software aid	State	Categorical Aids
12	Record Retention Requirements	State	None
13	Personal Privacy Protection	State	None
Business			
14	IRS Retirement Plan 403(b) Regulation Changes, Compliance and Plan Document	Federal	None
15	Audit Committee	State	None
16	Charter School Payments from District State Aid ⁶	State	Charter School Transition Aid, Transportation Aid
17	Claims Auditor	State	None ^{2,4}
18	Corrective Action Plans to Address Comptroller's Office or Education Department Audits	State	None
19	Annual External Audits	State	None
20	Audited Financial Statement	State	None
21	GASB 34 - Additional Audit Costs and Fixed Asset Inventory Report	Federal	None
22	GASB 45 – increased independent auditing costs and actuarial costs	Federal	None ^{2,4}

23	Internal Auditor	State	None
24	School District Property Tax Report Cards	State	None
25	Segregation of Duties - Increased Staffing	State	None
26	Six-Day Budget Notice to Residents	State	None ^{2,4}
27	Six-Hour Financial Operations Training for BOE	State	None ^{2,4}
28	Prohibition on BOCES providing services to municipalities, colleges, and third party contracts	State	N/A
29	Wicks Law Constraints	State	State Building Aid
Facilities			
30	Five-Year Capital Facilities Plan	State	None
31	Administration of State Environmental Quality Review Act	State	State Building Aid
32	Annual Asbestos Management Plan Review (If Applicable)	Federal	None ^{2,4}
33	Annual Visual Inspections	State	None ^{2,4}
34	Asbestos Inspection & Management Plan	Federal	None ^{2,4}
35	Automatic External Defibrillators – Equipment, Pads and Training	State	None
36	Building Condition Survey (every 5 years)	State	State Building Aid ^{2,4}
37	Electronically Operated Gym Partitions Safety Interlock and Door Edge Detectors	State	State Building Aid
38	Environmentally Sensitive Products ("Green Cleaning")	State	None
39	Fire Extinguisher Testing and Monitoring	State	None ^{2,4}
40	Fire Safety Inspection	State	None
41	Hazardous Waste Removal	State	None
42	Integrated Pest Management	State	None
43	Limits on Combustible Materials in Corridors, including Artwork	State	None
43	Pesticide Notification Requirements	State	None ^{2,4}
44	Radon Awareness & Testing	Federal	None ^{2,4}
45	School Facility Report Card	Regulation	None ^{2,4}
46	Special Education Space Requirements Plan	State	None
47	Triennial AHERA Inspection (Asbestos)	Federal	None ^{2,4}
Health/Safety			
48	AIDS Education	Regulation	None
49	Physical Examinations, Eye and Hearing Tests	State	None ^{2,4}
50	Review of Student Immunization Records	State	None ^{2,4}
51	Scoliosis Screening	State	None ^{2,4}
52	Wellness Policy and Committee	Federal ³	None
53	Automatic External Defibrillators	State	None
	Bicycle Safety	State	None
54	Blood-borne Pathogens Training	Federal	None ^{2,4}

55	Right-to Know Law & Hazard Communication	State	None ^{2,4}
56	Training of Coaches in First Aid, CPR & AED	State/Regulation	None ^{2,4}
57	Building Level School Safety Plan	State/Regulation	None ^{2,4}
58	District Wide School Safety Plan	State/Regulation	None ^{2,4}
59	Pandemic Flu Preparedness Plan	Federal	None
60	Violent & Disruptive Incidents (VADIR)	Federal/State	None
61	Megan's Law Notifications	State	None
62	Body Mass Index (BMI)	State	None
63	N-95 Respirators during time of H1N1 pandemic	State Dept. of Labor	None
64	Swimming Pool Testing	State	None
65	Eye Safety Devices	State	None
66	Destruction of Hypodermic Needles and Syringes	State	None

Instruction

67	180 Days of Instruction	State	None
68	Teacher certification areas and grade ranges	Regulation	None
69	Requirements for technology education, home and career skills, and library and information skills	Regulation	None
68	Academic Intervention Services (AIS)	Regulation	None ^{2,4}
69	Alternative Instruction of Suspended Students	State	None
70	Behavioral Intervention Plan for Students with Disabilities	Federal	None
71	Coaching Certification	Regulation	None ^{2,4}
72	Code of Conduct Annual Review Committee	State	None
73	Early Grade Size District Plan	Regulation	None
74	Grades 3 - 8 Testing Scoring , Analyzing and Mailings	Federal	None ^{2,4}
75	Health Education	State	None
76	Home Instruction	State/Regulation	None
77	Home Schooling - Individual Home Instruction Plan	State/Regulation	None
78	Homeless Student Instruction	Federal	None
79	Instruction of Incarcerated Youth	State/Regulation	None
80	Conservation Day (Formerly Arbor Day)	State	None
81	Curriculum Requirements. For Example, Conservation Day, the Irish Potato Famine, Humane Treatment of Animals, Patriotism and Citizenship, Care of the Flag, Flag Day Observance, Fire Prevention	State	None
82	Preschool Committee for Special Education Administration	Federal	None
83	Regents Examination Administration	Regulation	None
84	Revised Math Curriculum	Regulation	None
85	Seat Time Requirements	Regulation	None
86	Special Education Transition Plans	Federal	None
87	Student Calculators	Regulation	None
88	Supplemental Educational Services	Federal	Federal ^{2,4}
89	School Choice	Federal	Federal

90	Contracts for Excellence	State	Foundation Aid
91	Joint Intervention Teams and Distinguished Educators	State	Foundation Aid
Special Education⁷			
92	Staffing Ratios and Class Sizes	State	Foundation Aid & Public Excess Cost Aid / Federal IDEA
93	Cap of 65 for the total caseload of students for teachers providing speech and language services	Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
94	30 day requirement for a summer program	Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
95	Minimum required daily speech-language services requirement for a student with autism	Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
96	Maximum number of students in a co-teaching class	Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
97	Maximum caseload for students assigned to a resource room teacher	Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
98	School districts must provide transportation up to 50 miles to and from a nonpublic school if a student with a disability has been identified by the CSE as receiving services or programs similar to special education programs recommended by the CSE	Regulation	None
99	Maximum caseload and minimum level of service requirements for consultant teacher services	Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
100	Independent hearing officers for special education disputes are not selected jointly by parents and districts	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
101	Behavioral Intervention Plan for Students with Disabilities	Federal	Foundation Aid & Public Excess Cost Aid / Federal IDEA
102	Recommend Declassification Services	State	Foundation Aid & Public Excess Cost Aid / Federal IDEA
103	42 Day Timeline for Evaluation Information and Parental consent for student evaluation	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
104	Costs for Students Attending State Schools for the Blind and Deaf	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
105	Multi-Disciplinary Teams (MDT) to include a psychologist, parent and physician	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
106	Multiple notification requirements such as, 5 Day Notice for Excusal of an MDT Member	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
107	Requirement for Psychologist and Parent Member on the Committee for Special Education	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA

108	Individual evaluation requires: physical examination, individual psychological evaluation, social history and functional behavioral assessment (FBA) required components of a student's initial individual evaluation.	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
109	Policy for placement in a school that uses psychotropic drugs	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
110	Agreement that reevaluation is unnecessary must be in writing	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
111	Children of Viet Nam Vets Must File a Form	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
112	Notify Social Services of Children at Risk	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
113	Parental Consent for 12 Month Program or Services	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
	Requirement to fund special education services by providers in charter schools	State/Regulation	State Aid Attributable to a Student With a Disability
114	Provide Copy of State Handbook to Parents	State/Regulation	Foundation Aid & Public Excess Cost Aid / Federal IDEA
115	July/August programs required to provide at least 30 days of service	State/Regulation	State Section 4408 Funding
Technology			
116	Unique Student Identifiers	Federal/State	None
117	District Data Manager	Regulation	None
118	Instructional Computer Technology Plans	State	None ^{2,4}
119	Statewide Data Collection - Data Warehousing	Regulation	None
120	Disaster Recovery Plan	State	None ^{2,4}
Human Resources			
121	BEDS Reporting	State/Regulation	None ^{2,4}
122	Corporal Punishment Report	Regulation	None
123	Fingerprinting of Potential Employees	State	None ^{2,4}
124	Leave for Disaster Relief Workers with American Red Cross	State	None
125	Leave for Prostate & Breast Cancer Screening	State	None
126	Leave for Blood Donation	State	None
127	Professional Development Hours Tracking	Regulation	None ^{2,4}
128	Professional Development Plan	Regulation	None ^{2,4}
129	Teacher Mentoring Program	Regulation	None
130	Annual Professional Performance Review	Regulation	None

Nonpublic Schools

131	Nonpublic School Hardware Loan	State	Hardware Aid
132	District of Location Required to Provided Dual Enrollment Services to Nonpublic Students (Special Ed., Career Ed. and Gifted and Talented)	Federal/State	State Dual Enrollment Aid / Federal IDEA Aid
132	Nonpublic School Health Services	State	None
133	Nonpublic School Library Access and Materials Loan	State	Library Mat'l Aid
134	Nonpublic School Software Loan	State	Software Aid
135	Nonpublic School Special Education Services	Federal/State	State Dual Enrollment Aid / Federal IDEA Aid
136	Nonpublic School Textbook Loan	State	Textbook Aid ^{2,4}
137	Nonpublic School Transportation	State	Transport. Aid

Transportation

138	Annual Defensive Driving Review	Federal	None
139	Annual Physical Exams	Regulation	None
140	Bi-annual Bus Drive Physical Performance Test	Regulation	None
141	Bi-annual Bus Driver Written Driver's Test	Federal	None
142	Bus Driver Safety Training	State	\$400,000 Annual Grant
143	Drug Testing for Drivers	Federal	None
144	Exterior Reflective Material on Buses	Federal	None
145	Seatbelts on Buses	State	Transport. Aid
146	No Standee Rule	State	Transport. Aid
145	Pre-Trip and Post-Trip Vehicle Inspection	Federal	None

- Notes:**
1. The list includes mandates most commonly cited by school officials, school board members and members of the public. The list does not include every possible mandate that schools are subject to, including mandates from other state agencies.
 2. Districts may share a service and contract with BOCES to provide the service. Many shared services are eligible for BOCES aid.
 3. Wellness Policy only required for school districts participating in the federal school breakfast and lunch programs.
 4. "Aid Restricted" means that some parts of the BOCES service are not eligible for BOCES Aid; Restrictions vary by type of service.
 5. District may use flexible aids such as, foundation to pay for mandates.
 6. Funds follow the students. Some of the charter tuition comes from state aid the districts receives and some from the local share. Districts pay for charter student transportation but receive State Transportation Aid.
 7. A complete list of New York State Special Education mandates that exceed federal requirements is available as a separate document.

If there is a mandate that you would like added to the list for review and evaluation please send an email message to: emscsom@mail.nysed.gov

