



# Arizona Technical Assistance System

## AZ-TAS

### EVALUATION PROCESS

Exceptional Student Services  
August 2017

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## **Purpose**

This document was developed to assist school personnel and parents with the procedural requirements of the evaluation process. The forms in Appendix A may be used as guides in documenting the evaluation process.

This document will provide a step-by-step guide to decision making and procedures for use throughout the evaluation process, including reviewing existing data, determining the need for additional data, obtaining parent consent, conducting any needed assessments, and determining eligibility.

This document is meant to be a guide and training tool. Legal citations are included for reference points.

## **Child Find**

(34 C.F.R. §300.111; A.A.C. R7-2-401.D.5–8)

Under the child find provisions of the Individuals with Disabilities Education Act (IDEA) regulations, each public education agency (PEA) must ensure that all students with disabilities who are in need of special education and related services are identified, located, and evaluated. This includes enrolled students, as well as others within the boundaries of responsibility of a district (privately schooled, home-schooled, highly mobile, migrant, and homeless students). Although charter schools do not have a boundary of responsibility because their service areas are not defined, they are responsible for child find activities for all students enrolled in their school.

For all PEAs, child find also includes those students suspected of having a disability who are in need of special education, even though they are advancing from grade to grade. Because of this obligation, the responsibility for child find rests with all staff members who have contact with students.

## **Screening**

PEAs may not rely solely on parents to request special education services for their child, but must have a system in place to locate students in need of services. In Arizona, PEAs must screen all students for disabilities within 45 calendar days:

- after the student enters a preschool program or kindergarten,
- after a student enrolls in a new school without appropriate records of screening, evaluation, and progress in school, or
- upon notification of concern by the parent.

In Arizona, screening procedures must include hearing and vision status and consideration of cognitive, academic, communication, motor, social, behavioral, and adaptive development.

Screening does not include a comprehensive evaluation, and parental consent is not required for screening.

**Guidance:** Students enroll in school throughout the school year, and the 45-calendar-day timeline needs to be met based on the date of enrollment of the individual student. It is a good idea to have a procedure that sets up screening dates and screening timelines to ensure that the 45-calendar-day criteria is being met regardless of the date of enrollment or the date a concern is brought forward by a parent.

## Referral

(§300.301; §300.304; A.R.S. §15-761; A.R.S. §15-766; A.A.C.R7-2-401.E)

Despite the best efforts of schools to remedy students' deficiencies by using pre-referral interventions (see Appendix D for more information on pre-referral interventions), some students may not be able to attain the skills needed to make adequate progress in the general curriculum. If a disability is suspected as the underlying reason for this, a student is referred for a full and individual evaluation.

An evaluation of a student must occur before the provision of special education and related services. Either a parent of a student, PEA staff, or an adult student may request an evaluation to determine if the student is a student with a disability. If the parent of a student refuses consent for initial evaluation or fails to respond to a request for consent to evaluate, the PEA may, but is *not required* to, pursue the initial evaluation of the student by requesting mediation or a due process hearing. The PEA will not violate its obligation under child find and evaluation regulations if it declines to pursue an evaluation in this case.

## Evaluation

(§300.301; §300.321; §330.30; A.R.S. §15-761; A.R.S. §15-766; A.A.C. R7-2-401.E)

In order to determine whether a student is eligible to receive special education and related services, schools are required to conduct a full and individual evaluation to determine whether a student is or continues to be a student with a disability under the IDEA. The evaluation must be conducted by a multidisciplinary evaluation team (MET), which includes the individualized education program (IEP) team members and other qualified professionals.

The IEP team is defined as:

- The parents of the student;
  - The IDEA defines parent as:
    - ✓ a biological or adoptive parent
    - ✓ a foster parent
    - ✓ a legal guardian

- ✓ an individual acting in the place of a biological or adoptive parent (including a relative with whom the student lives or an individual who is legally responsible for the student's welfare)
- ✓ a surrogate parent

If more than one person is qualified to act as the parent, schools should presume that the biological or adoptive parent is the parent under Part B of the IDEA when that individual is attempting to act as the parent, unless the biological or adoptive parent does not have legal authority to make educational decisions for the student.

- Not less than one regular education teacher of the student (if the student is, or may be, participating in the regular education environment);
- Not less than one special education teacher of the student, or where appropriate, not less than one special education provider of the student;
- A representative of the public agency (who has certain specific knowledge and qualifications);
- An individual who can interpret the instructional implications of evaluation results and who may also be one of the other listed members;
- At the discretion of the parent or the agency, other individuals who have knowledge or special expertise regarding the student, including related services personnel as appropriate; and
- Whenever appropriate, the student with a disability.

**Guidance:** It is important to ensure that parents have the opportunity to participate in the process. In a recent 9th circuit court decision, which is binding in Arizona, it was determined that exceeding the annual IEP revision date in order to allow the parent the opportunity to participate ([Doug C. v. Hawaii](#)) would have met the intent of the procedural safeguards. The court decreed that when confronted with the problem of complying with one procedural requirement of the IDEA over another (timeline vs. parent participation), schools must “make a reasonable determination of which course of action promotes the purpose of the IDEA and is least likely to result in a denial of FAPE.”

Schools **MUST** ensure parents are afforded the opportunity to participate in meetings regarding the identification, evaluation, educational placement, or provision of FAPE to their child. Alternative means of participation must be offered, such as: conference calls, Skype, e-mail, written input, and other methods.

## Evaluation Timeline

(§300.301; A.R.S. §15-766; A.A.C. R7-2-401.E.3–4)

In Arizona, *initial* evaluations and *reevaluations* must be completed within **60 calendar days**.

The 60-day evaluation timeline can begin in either of two ways:

1. If the evaluation is initiated by a **parent**, the 60-day timeline begins on the date the school receives a written parental request for an evaluation.
2. If the evaluation is initiated by the **school**, the 60-day timeline begins on the date the school receives informed written consent to evaluate from the parent.

The 60-day evaluation timeline can conclude in either of two ways:

1. If the school does not suspect the student has a disability or does not believe an evaluation is warranted, the school may refuse to conduct an evaluation by issuing a prior written notice (PWN) of refusal to evaluate. The timeline ends on the date the school provides the PWN to the parents.
2. If the school agrees to conduct an evaluation, whether it was initiated by the school or a parent, the 60 days conclude on the date the MET makes an eligibility determination—a decision as to whether the student is or is not eligible to receive special education and related services.

Eligibility for special education has three components:

- The child has a qualifying disability, as described in the federal regulations that implement the IDEA at 34 C.F.R. §300.8,
- The disability impacts learning, and
- There is a need for specially designed instruction.

Exceptions to the 60-day rule are permitted in situations in which the student changes schools while the evaluation process is underway or the parent repeatedly fails or refuses to produce the student for the evaluation. Under Arizona State Board of Education Rules, the school and the parents may agree in writing to extend the timeline by an additional 30 days if it is in the student's best interest. IDEA requires all eligible students to have a reevaluation at a minimum of once every three years to redetermine their eligibility. Neither the 60-day evaluation period nor any extension shall cause a reevaluation to exceed this three-year timeline.

## Evaluation Considerations

(§300.304; §300.306)

In conducting the evaluation, the PEA must:

- Use a variety of assessment tools and strategies to gather relevant functional, developmental, and academic information about the student, including information provided by the parent that may assist the team in determining eligibility and deciding upon the content of the IEP (including information to enable involvement and progress in the general education curriculum and participation in appropriate activities);
- Not use any single measure or assessment as the sole criterion for determining whether the student has a disability and for determining an appropriate educational program; and

- Use technically sound instruments that may assess the relative contribution of cognitive and behavioral factors, in addition to physical or developmental factors.

The team must ensure that tests and other evaluation materials:

- Are selected and administered so as not to be discriminatory on a racial or cultural basis;
- Are provided and administered in the student's native language or other mode of communication and in the form most likely to yield accurate information on what the student knows and can do academically, developmentally, and functionally, unless it is clearly not feasible to do so;
- Are used for the purposes for which the assessments or measures are valid and reliable;
- Are administered by trained and knowledgeable personnel; and
- Are administered in accordance with any instructions provided by the producer of the assessments.

The team must also ensure the following assessment conditions are met:

- Assessments and other evaluation materials include those tailored to assess specific areas of educational need and not merely those that are designed to provide a single general intelligence quotient;
- Assessments are selected and administered to ensure that if they are administered to a student with impaired sensory, manual, or speaking skills, the assessment results accurately reflect the student's aptitude or achievement level (or whatever is meant to be measured) rather than reflecting the student's impaired skills (unless those are the skills being measured);
- If the student is limited English proficient, the assessments measure the extent to which the student has a disability and needs special education rather than measuring the student's English language skills;
- The student is assessed in all areas related to the suspected disability, including, if appropriate, health, vision, hearing, social and emotional status, general intelligence, academic performance, communicative status, and motor abilities;
- Assessments of a student who transfers from one PEA to another within the same school year are coordinated with the prior school to ensure prompt completion of the full evaluation;
- The evaluation is sufficiently comprehensive to identify all of the student's special education and related service needs, whether or not they are commonly linked to the disability category in which the student has been classified; and
- Assessment tools and strategies provide relevant information that directly assists a team in determining the student's educational needs.

## Review of Existing Data

(§300.305)

As part of the evaluation process, the group of people who would comprise a student's IEP team and other qualified professionals (as appropriate) review all relevant existing information about a student. Parent consent is not needed to conduct a review of existing data. When reviewing existing data, the team must consider the validity and reliability of the information and the resulting interpretations. When completing the review of existing data, documentation of the following information must be provided in the evaluation report:

- Evaluations and information provided by the parents of the student, including current medical, developmental, and functional status and history and any parentally obtained evaluations;
- Results of any prior special education evaluation(s) and an analysis of that data;
- Current classroom-based, PEA, and statewide assessments, including language proficiency assessments, where applicable;
- Classroom-based observations and pre-referral interventions; and
- Observations and input by teachers and related service providers.

Based on the review and input from the student's parents, the team must decide if additional data are needed to determine:

- Whether the student has a disability;
- The educational and developmental needs of the student;
- The present levels of academic achievement; and
- Whether the student needs special education and related services.

**Guidance:** While the team may conduct its review without a meeting, input and decision making by all members is essential and needs to be evident. It is important to ensure that the team reviews all information and addresses any and all concerns to ensure that adequate decisions are made regarding what additional data, if any, may be needed to determine eligibility. All considerations described previously should also be discussed to ensure that the necessary data to determine eligibility is present, or will be collected if it was not already present.



## Parent Consent for Collection of Additional Data

(§300.300; §300.9; A.A.C. R7-2-401.F)

*If the team determines that additional information is needed, the PEA must so notify the parents by means of a prior written notice and must obtain written parent consent to collect the additional information. The additional information may be in the form of assessments, observations, medical reports, or other types of information.*

## Determination of Eligibility

(§300.301; §300.304; §300.306; A.R.S. §15-761; A.R.S. §15-766; A.A.C. R7-2-401.E)

When the review of existing data, administration of any assessments, and other evaluation measures are complete, the final step in the evaluation process is to review and discuss all the evaluation information. The MET/IEP team, a group of qualified professionals and the parent of the child, determines whether the student has a disability, as defined in §300.8 and A.R.S. §15-761. The team must:

- Draw upon information from a variety of sources, including aptitude and achievement tests, parent input, and teacher recommendations, as well as information about the student's physical condition, social or cultural background, and adaptive behavior;
- Ensure that information obtained from all these sources is documented and carefully considered; and
- Provide a copy of the evaluation report to the parent at no cost.

A student may not be determined eligible if the determinant factor for that determination is:

- Lack of appropriate instruction in reading, including the essential components of reading instruction;
- Lack of appropriate instruction in math; or
- Limited English proficiency.

A student can only be determined eligible for special education services if:

- The student has a qualifying disability;
- The disability impacts learning; and
- There is a need for specially designed instruction.

**Guidance:** It is important for the team to document discussions related to all three criteria for eligibility, as they relate to the specific student. If all of these criteria are not met, then the student would not be eligible for special education services, and therefore, no IEP would be developed.

## **Additional Procedures for Identifying a Specific Learning Disability (SLD)** (§300.307–§300.311)

A PEA has two options for identifying a student with a SLD:

1. Using a discrepancy model to determine if there is a significant difference between intellectual ability and achievement; or
2. Using a response to intervention/multi-tiered system of supports (RTI/MTSS) process.

To ensure that underachievement in a student suspected of having an SLD is not due to lack of appropriate instruction in reading or math, the team must consider these factors, as part of the evaluation described in 34 CFR §300.304 through §300.306:

- Data that demonstrate that prior to, or as a part of, the referral process, the student was provided appropriate instruction in general class settings, delivered by qualified personnel;
- Student behaviors that are relevant to school performance; and
- Data-based documentation of repeated assessments of achievement at reasonable intervals, reflecting formal assessment of student progress during instruction, which is provided to the student’s parents.

This consideration is a requirement no matter which option for identification is chosen.

For the purposes of identifying a student with an SLD, the following conditions must not be the determining factor of the disability: visual, hearing, or motor impairment; intellectual disability; emotional disability; limited English proficiency; environmental, cultural, or economic disadvantage; or lack of appropriate instruction in reading or math.

### ***Additional Team Membership for SLD Determinations*** (§300.308)

In addition to the IEP team membership requirements, the team that determines if a student qualifies as a student with a specific learning disability must include:

- The student’s regular teacher or if there is not a regular teacher, a general classroom teacher who is qualified to teach a student of the same age; and
- At least one person certified to conduct the diagnostic examination of the student, such as a school psychologist, speech-language pathologist, or math or reading specialist. The specific specialty depends on the nature of the student’s suspected disability.

This group makes the determination of eligibility for a student with a specific learning disability, using the criteria outlined in §300.309(a)(1–3); §300.309(b); and §300.310.

## ***Specific Documentation for the SLD Eligibility Determination***

(§300.311)

The documentation of the eligibility determination must contain the certification of each group member's agreement in writing as to whether the report reflects the member's conclusion. If it does not, the group member must submit a separate statement presenting the member's conclusions.

**Guidance:** For SLD determination, all team members have to indicate in writing, by checking a box or otherwise, that they agree to the determination made by the team. If, for any reason, a team member does not agree, the member would indicate the reason for the disagreement and provide a written explanation of why. This should be retained in the student's file.

## **Reevaluations**

(§§300.303–300.311; A.R.S. §15-766; A.A.C. R7-2-401.E)

In accordance with IDEA, a PEA must conduct a reevaluation if the PEA determines that the educational or related services needs of the student warrant a reevaluation or if a parent or teacher requests a reevaluation. Consequently, a reevaluation must be done when little or no progress is being made, when a parent or teacher requests a reevaluation, or when a student improves significantly and may no longer need special education.

However, the IDEA limits reevaluations to no more than one a year, unless the parent and PEA agree otherwise. Reevaluations must be conducted at least once every three years. The review of existing evaluation data must be a part of any reevaluation. Using information from the review of data and input from the student's parents, the reevaluation team must identify what additional data, if any, are needed to determine:

- Whether a student continues to have a disability and the educational needs of the student;
- The present levels of academic achievement and related developmental needs of the student;
- Whether the student continues to need special education and related services; and
- Whether any additions or modifications to the special education and related services are needed to enable the student to meet the measurable annual goals set out in the IEP and to participate, as appropriate, in the general education curriculum.

The team may conduct its review without a meeting. Once the team has identified what, if any, additional data are needed, parent consent to gather the additional data must be obtained.

## Requirements if Additional Data Are Not Needed

(§300.305)

There are occasions when the wealth of information contained in a student's file and reviewed by the IEP team provides ample documentation of the student's continued eligibility and the necessary content for the IEP. When no additional assessments are needed, the PEA must still notify the student's parents of

- The determination that no additional data are needed and the reasons for the decision; and
- The parents' right to request any assessments to determine continued eligibility and educational needs.

**Guidance:** It is important to document that parents have been informed of their right to request additional assessment data. Be sure that the team has considered all concerns outlined earlier in the document and can appropriately redetermine eligibility, as well as explain any additions or modifications needed to the student's programming, based on the existing data.

## Evaluation before a Change in Eligibility

(A.R.S. §15-761.01)

With the exception of the occasions noted below, a PEA must reevaluate a student with a disability before determining that the student no longer qualifies for special education. The evaluation may consist of a review of existing evaluation data, some additional assessment, or an entire comprehensive evaluation, based on the IEP team's decision as to what information is needed to make the decision.

Exceptions that do not require an evaluation to terminate services:

- Graduating from secondary school with a regular diploma; or
- Exceeding the age eligibility for a free appropriate public education (FAPE) under Arizona law.

For students whose eligibility terminates because of the exceptions above, the PEA must provide the student with a summary of the student's academic achievement and functional performance, which shall include recommendations on how to assist the student in meeting his or her postsecondary goals.

# Appendix A

## Sample Forms:

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Student with Visual Impairment (VI)	35
Student with Development Disability (DD)	36
Preschool Student with Severe Delay (PSD)	37
Non-eligible Student	38

**Multidisciplinary Evaluation Team (MET) Report  
Part I: Review of Existing Data for Evaluation**

**Student Name:** \_\_\_\_\_ **DOB:** \_\_\_\_\_ **SSID#:** \_\_\_\_\_

**Date Part I Review Completed:** \_\_\_\_\_ **Student's Language Proficiency:** \_\_\_\_\_

**Vision Screening Date:** \_\_\_\_\_ **Results:** \_\_\_\_\_

**Hearing Screening Date:** \_\_\_\_\_ **Results:** \_\_\_\_\_

**Review of Existing Data by the Multidisciplinary Evaluation/IEP Team**

(§300.305(a)–(e); A.R.S. §15-766.B)

Information provided by the parents, including **current** developmental, medical, functional information, and history, including any parentally obtained evaluations:

Summary of any prior special education evaluation(s), including dates and significant results:

Current classroom-based assessment scores and performance in the general curriculum, which could include educational history:

Teacher and, as appropriate, current related service provider observations and input, and for an initial evaluation, any pre-referral interventions:

Results of formal assessments such as AzMERIT or PEA-wide assessments, including language proficiency assessments where applicable:

Educational problems related to or resulting from reasons of:

	Yes	No		Yes	No
Educational Disadvantage			Environmental Disadvantage		
Racial Disadvantage			Economic Disadvantage		
Cultural Disadvantage			Lack of Instruction in Math		
Limited English Proficiency			Lack of Instruction in Language Arts		
Hearing or Vision Deficits					

Please provide an explanation for any “Yes” answers:

Classroom-based observations:

#### Consideration and Identification of the Need for Additional Data to Be Collected

Is the existing information sufficient to determine:

- Whether the student has a particular category of disability or continues to have a disability
- The present levels of academic and functional performance and educational needs of the student
- Whether the student needs or continues to need special education and related services
- And whether any additions or modifications to the special education and related services are needed to enable the student to meet the measurable annual goals set out in the IEP and to participate, as appropriate, in the general education curriculum

**YES**  the information is sufficient. Summarize the team’s reasons in the box below and proceed to the determination of eligibility.

If existing data are sufficient to determine the above information, summarize the basis for the team’s determination.

**For reevaluation only, parents were notified of their right to request additional assessments to determine whether the student continues to be a student with a disability.**

**Parent Initials** \_\_\_\_\_

**NO**  Additional data are needed. List the information that needs to be collected below:  
 (Check all that apply.)

Cognitive/Intellectual	Academic	Motor
Language/Communication	Social Emotional	Adaptive/Independent Living
Other (Specify):		

**Team Members Involved**

(Check all that apply.)

Student	Special Education Teacher(s)	Other (Specify)
Parent/Guardian	Interpreter of Assessments	Other (Specify)
General Education Teacher(s)	Agency Representative	



## Parent Consent for Evaluation

**Student Name:** \_\_\_\_\_ **DOB:** \_\_\_\_\_ **SSID:** \_\_\_\_\_

After reviewing existing evaluation data, the IEP team has determined that additional assessment(s) are needed to determine if your child has a disability and what the resulting educational needs are for this student.

Your written consent is required before we gather the additional data. Your consent is voluntary. You may revoke your consent at any time during the evaluation, which will halt any further assessment. Such revocation does not alter consent for any evaluation that has already occurred.

### Components of the evaluation will include:

Cognitive/Intellectual	Academic	Motor (Fine/Gross)
Language/Communication	Social Emotional	Adaptive/Independent Living
Other (Specify):		

Records resulting from this evaluation may only be released to third parties with your express written consent. However, under the Family Educational Rights and Privacy Act (FERPA), records may be released without your consent to another school in which your student is seeking to enroll.

Upon completion of the evaluation, you will be invited to attend a meeting to review the evaluation results and to help make a determination of eligibility.

- I have received a copy of the parent's Procedural Safeguards Notice (PSN).
- I give permission for my student to receive an individual evaluation.
- I refuse permission for my student to receive an individual evaluation.

Parent's Name: \_\_\_\_\_

Parent's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## Evaluation Report Checklist

Following the completion of an evaluation, a comprehensive report must be developed and maintained in the student's file. An evaluation checklist is provided below to assist teams in the development of such a report.

**Evaluation Checklist** *Use this checklist to assist in creating a comprehensive report format that includes all the required components and considerations.*

### Biographical Information

- |   |  |                                |
|---|--|--------------------------------|
| <input type="checkbox"/> Student name         | <input type="checkbox"/> DOB                       | <input type="checkbox"/> SSID# |
| <input type="checkbox"/> New eligibility date | <input type="checkbox"/> Previous eligibility date |                                |
| <input type="checkbox"/> Current vision       | <input type="checkbox"/> Current hearing           |                                |

### Review of Existing Data

- Review of Existing Data for Evaluation form (Part I) is included in the evaluation report OR the text of the evaluation report (Part II) includes all of the information indicated on the review form.

### Documentation of Additional Data

- Results of any additional data are reported in a comprehensive manner.

### Summarization of the Evaluation

- The present levels of educational performance and educational needs are discussed and documented.
- The impact of any educational disadvantage, lack of appropriate instruction in reading or math, or limited English proficiency are discussed and documented.
- The eligibility form for the appropriate category of disability is included OR the text of the report includes all of the information indicated on the eligibility form.
- The team membership for the evaluation and eligibility determination is indicated in the report.

**Multidisciplinary Evaluation Team (MET) Report  
Part II: Summary of Evaluation**

**Student Name:** \_\_\_\_\_ **DOB:** \_\_\_\_\_ **SSID#:** \_\_\_\_\_

**Documentation of Additional Data**

<b>Type of Report</b>	<b>Date</b>	<b>Type of Report</b>	<b>Date</b>
Cognitive/Intellectual		Academic	
Language/Communication		Social/Emotional	
Motor (Fine/Gross)		Adaptive/Independent Living	
Other (Please specify)		Other (Please specify)	

**Summary of Evaluation**

Discuss and document the present levels of educational performance and educational needs. Attach the appropriate eligibility determination form.

Discuss and document the impact of the disability on the student’s performance in the educational environment and progress in the general education curriculum:

Discuss and document educational needs for the student to access the general education curriculum:

Discuss and document the student's need for assistive technology:

Discuss the impact of educational disadvantage, lack of appropriate instruction in language arts or math, or limited English proficiency:

**For reevaluation only**, discuss and document any additions or modifications to the special education services needed for the student to progress in the general curriculum:

**MET Participants**

<b>Date</b>	<b>Position</b>	<b>Signature</b>
	Student	
	Parent	
	Parent	
	General Education Teacher	
	Special Education Teacher	
	Interpreter of Eval. Results	
	Agency Representative	
	Other (Specify):	
	Other (Specify):	

**Student with Autism (A)**  
*Determination of Eligibility*

---

Name of Student

---

Date of Eligibility Decision

---

Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student has a developmental disability that significantly affects verbal and nonverbal communication, social interaction, and adversely affects performance in the educational environment. Characteristics of autism include irregularities and impairments in communication, engagement in repetitive activities and stereotypical movements, resistance to environmental change or changes in daily routines, and unusual responses to sensory experiences. Autism does not include students with emotional disabilities as defined in A.R.S. §15.761.
  
- The student was evaluated in all areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with autism.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Emotional Disability (ED)**  
*Determination of Eligibility*

---

Name of Student

---

Date of Eligibility Decision

---

Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student exhibits one or more of the following characteristics over a long period of time and to a marked degree, and the behavior adversely affects performance in the educational environment:
  - An inability to build and maintain satisfactory interpersonal relationships with peers and teachers.
  - Inappropriate types of behavior or feelings under normal circumstances.
  - A general and pervasive mood of unhappiness or depression.
  - A tendency to develop physical symptoms or fears associated with personal or school problems.
  - An inability to learn that cannot be explained by intellectual, sensory, or health factors.

The category includes students who are schizophrenic, but does not include students who are socially maladjusted, unless it is determined that they have an emotional disability.

- The emotional disability has been verified by a psychiatrist, licensed psychologist, or certified school psychologist.
- The student was evaluated in all areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with an emotional disability.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Hearing Impairment (HI)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student has a loss of hearing acuity that adversely affects performance in the educational environment.
  - The hearing loss has been verified by an audiologist through an audiological evaluation.
  - A communication/language proficiency evaluation has been conducted.
  - The student was evaluated in all areas related to the suspected disability.
- 

**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with a hearing impairment.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

## Student with Mild Intellectual Disability (MIID)

### Determination of Eligibility

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student exhibits intellectual disability that adversely affects performance in the educational environment as evidenced by performance on a standard measure of intellectual functioning that is between two and three standard deviations below the mean for students of the same age.
  - The student demonstrates adaptive behaviors that are between two and three standard deviations below the mean for students of the same age.
  - The student was evaluated in all areas related to the suspected disability.
- 

#### Team decision regarding the presence of a disability:

- The student **does** meet the criteria as a student with mild intellectual disability.

#### Team decision regarding the need for special education services:

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.



**Student with Moderate Intellectual Disability (MOID)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student exhibits intellectual disability that adversely affects performance in the educational environment as evidenced by performance on a standard measure of intellectual functioning that is between three and four standard deviations below the mean for students of the same age.
- The student demonstrates adaptive behaviors that are between three and four standard deviations below the mean for students of the same age.
- The student was evaluated in all areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with moderate intellectual disability.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Multiple Disabilities (MD)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student has learning and developmental problems resulting from multiple disabilities that cannot be provided for adequately in a program designed to meet the needs of students with less complex disabilities and that adversely affect performance in the educational environment.
- The student is a student with a disability with two or more of the following conditions:
  - A hearing impairment.
  - An orthopedic impairment.
  - Moderate intellectual disability.
  - A visual impairment.
- One or more of the following disabilities existing concurrently with any of the above—mild intellectual disability, an emotional disability, or a specific learning disability.
- The student was evaluated in all areas related to the suspected disability.

---

**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with multiple disabilities.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

***Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Multiple Disabilities with Severe Sensory Impairment (MDSSI)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

The student has:

- A severe visual **or** hearing impairment in combination with one or more of the following disabilities that, taken together, adversely affects performance in the educational environment:
  - Autism
  - Orthopedic impairment
  - Moderate or severe intellectual disability
  - Multiple disabilities
  - Emotional disability requiring private or public intensive therapeutic placement
- The student has a severe visual **and** a severe hearing impairment.
- The student was evaluated in all areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with multiple disabilities with a severe sensory impairment.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Orthopedic Impairment (OI)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student has one or more severe orthopedic impairments caused by a congenital anomaly, disease, or other causes such as amputation or cerebral palsy that adversely affects performance in the educational environment.
- The orthopedic impairment has been verified by a doctor of medicine or doctor of osteopathy.
- The student was evaluated in all areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with an orthopedic impairment.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Other Health Impairment (OHI)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student has a health impairment that limits his/her strength, vitality, or alertness (including a heightened alertness to environmental stimuli that results in limited alertness with respect to the educational environment) that is due to chronic or acute health problems including but not limited to asthma, attention deficit disorder, diabetes, epilepsy, or heart conditions. The health impairment adversely affects performance in the educational environment.
- The health impairment has been verified by a doctor of medicine or doctor of osteopathy.
- The student was evaluated in all other areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with other health impairment.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Severe Intellectual Disability (SID)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student exhibits intellectual disability that adversely affects performance in the educational environment as evidenced by performance on a standard measure of intellectual functioning that is more than four standard deviations below the mean for students of the same age.
- The student demonstrates adaptive behaviors that are at least four standard deviations below the mean for students of the same age.
- The student was evaluated in all areas related to the suspected disability.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with severe intellectual disability.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

***Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Specific Learning Disability (SLD)**  
*Determination of Eligibility*

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Name of Student

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Name of Public Education Agency

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Date of Eligibility Decision

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

The student has a specific learning disability in one or more of the following areas:  
(Check all that apply.)

- |  |  |
|--|--|
| <input type="checkbox"/> Oral expression         | <input type="checkbox"/> Reading fluency skills      |
| <input type="checkbox"/> Listening comprehension | <input type="checkbox"/> Reading comprehension       |
| <input type="checkbox"/> Written expression      | <input type="checkbox"/> Mathematics calculation     |
| <input type="checkbox"/> Basic reading skills    | <input type="checkbox"/> Mathematics problem solving |

**Eligibility Was Determined By:** (Check all that apply.)

- Norm-referenced psychometric testing that identified a severe discrepancy between ability and achievement.
- A failure to respond to scientifically based interventions and progress monitoring (MTSS/RTI)

**Additional Requirements:** (Document the following information.)

Relevant behavior(s) noted during the observation and the relationship to academic functioning:

Educationally relevant medical findings (if any):

The effects of an additional disability, cultural factors, environmental or economic disadvantage, or limited English proficiency on the student's achievement level:

The student (  is  is not) achieving on grade level.

The student (  is  is not) making sufficient progress to meet grade level standards.

The student (  does  does not) exhibit a pattern of strengths and weaknesses in performance and/or achievement relative to grade level standards or intellectual development.

The student was evaluated in all areas related to the suspected disability.

**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with a specific learning disability.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Special Rule:** The team may not identify a student as having a specific learning disability if the discrepancy between ability and achievement is **primarily** the result of a visual, hearing, or motor impairment, intellectual disability, emotional disturbance, or environmental, cultural, or economic disadvantage.

**Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.

**Certification of Team Conclusion**

Date	Position	Signature	Agree	*Disagree
	Student			
	Parent			
	Parent			
	General Education Teacher			
	Special Education Teacher			
	Interpreter of Eval. Results			
	Agency Representative			
	Other (Specify):			
	Other (Specify):			

If eligibility was determined through a response to intervention method, the evaluation report contains:

- The instructional strategies used and the student-centered data collected;
- Documentation that the parents were notified about–
  - State policies regarding the amount and nature of student performance data that would be collected;
  - The general education services that would be provided;
  - Strategies for increasing the student’s rate of learning; and
  - The parents’ right to request an evaluation that includes norm-referenced psychometric testing.

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

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\*If a team member disagrees with the conclusions of the team report, the team member must submit a separate statement presenting his or her conclusions.



**Speech/Language Impairment (SLI)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to A.R.S. §15-761(34) and the following requirements:

**Preschool:** The student is at least three years of age, has not reached the age for kindergarten, and demonstrates performance on a norm-referenced language test that measures at least one and one-half standard deviations below the mean for students of the same age or whose speech, out of context, is unintelligible to a listener who is unfamiliar with the student. If there was a discrepancy between the measures, the evaluation team determined eligibility based on the preponderance of information presented.

**School-Age:** The student has reached the required age for kindergarten and demonstrates a communication disorder such as stuttering, impaired articulation, language impairment, or a voice impairment that adversely affects the student's educational performance. The student has been evaluated in all areas related to the suspected disability.

---

**Team decision regarding the presence of a disability:**

The student **does** meet the criteria as a student with a speech/language impairment.

**Team decision regarding the need for special education services:**

The student **does not** need special education services.

The student **does** need special education services.

**Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.

Parent has been provided with a written notice (PWN) regarding this decision that meets the requirement under the IDEA.

**Student with Traumatic Brain Injury (TBI)**  
*Determination of Eligibility*

\_\_\_\_\_  
Name of Student

\_\_\_\_\_  
Date of Eligibility Decision

\_\_\_\_\_  
Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to A.R.S. §15-766 and the following requirements:

- The student has an acquired open or closed injury to the brain that was caused by an external physical force that has resulted in total or partial functional disability or psychosocial impairment, or both, that adversely affects performance in the educational environment. Resulting impairments include such areas of disability as cognition, language, memory, attention, reasoning, behaviors, physical function, information processing, and speech
  - The injury is not congenital or degenerative or induced by birth trauma.
  - The injury has been verified by a doctor of medicine or doctor of osteopathy.
  - The student was evaluated in all areas related to the suspected disability.

---

**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with traumatic brain injury.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

***Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Funding Note:** For funding purposes, a student with TBI must be listed in the Arizona Education Data Standards (AzEDS) with another disability, as TBI is not a state funded category.

**Student with Visual Impairment (VI)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to the IDEA, A.R.S. §15-766, and the following requirements:

- The student has a loss of visual acuity or loss of visual field that, even with correction, adversely affects performance in the educational environment. The term includes both partial sight and blindness.
- The visual impairment has been verified by an ophthalmologist or optometrist.
- The student was evaluated in all areas related to the suspected disability.

---

**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with a visual impairment.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Developmental Delay (DD)**  
*Determination of Eligibility*

---

Name of Student

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Date of Eligibility Decision

---

Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to A.R.S. §15-766 and the following requirements:

- The student demonstrates performance on a norm-referenced test that measures at least one and a half but not more than three standard deviations below the mean for students of the same age in two or more of the following areas:
  - Cognitive development
  - Social and emotional development
  - Physical development
  - Adaptive development
  - Communication development
- The results of the norm-referenced measure(s) are corroborated by information from other sources, including parent input, judgment-based assessments, and/or surveys.
- The student was evaluated in all of the areas of development listed above, which, taken as a whole, comprise a comprehensive developmental assessment.

---

**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with a developmental delay.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

***Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Student with Preschool Severe Delay (PSD)**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

The determination of eligibility for special education is based on an evaluation pursuant to A.R.S. §15-766 and the following requirements:

- The student demonstrates performance on a norm-referenced test that measures more than three standard deviations below the mean for students of the same age in one or more of the following areas:
  - Cognitive development
  - Social and emotional development
  - Physical development
  - Adaptive development
  - Communication development
- The results of the norm-referenced measure(s) are corroborated by information from other sources, including parent input, judgment-based assessments, and/or surveys.
- The student was evaluated in all of the areas of development listed above, which, taken as a whole, comprise a comprehensive developmental assessment.

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**Team decision regarding the presence of a disability:**

- The student **does** meet the criteria as a student with a preschool severe delay.

**Team decision regarding the need for special education services:**

- The student **does not** need special education services.
- The student **does** need special education services.

***Note:** A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

**Non-eligible Student**  
*Determination of Eligibility*

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Name of Student

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Date of Eligibility Decision

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Name of Public Education Agency

This determination of non-eligibility for special education is based on an evaluation pursuant to IDEA, A.R.S. §15-766, and the following requirements:

- The student was evaluated in all areas related to the suspected disability.
- 

**Team decision regarding the presence of a disability:**

- The student **does not** meet the criteria as a student with a disability under the IDEA.

**Note:** *A student shall not be determined to be a student with a disability if the determinant factor is lack of appropriate instruction in language arts (including the essential components of reading instruction), lack of appropriate instruction in math, or limited English proficiency.*

- Parent has been provided with notice regarding this decision that meets the prior written notice requirement under the IDEA.

# Appendix B

## Federal and State Statutory and Regulatory References

### Individuals with Disabilities Education Act of 2004

#### IDEA Regulations of 2006, Title 34 of the Code of Federal Regulations (C.F.R.), Part 300

<b>Topic</b>	<b>34 C.F.R. Part 300 Reference</b>
Definition of evaluation	§300.15
Parent consent	§300.300
Basic requirements	§§300.301, 300.304, 300.324
Initial evaluation	§§300.301, 300.305
Reevaluation	§300.303
Review of existing data	§300.305(a)(1)
Evaluation procedures	§300.304
Copy of report for parents	§300.306(a)(2)
Independent educational evaluation	§300.502
Evaluation not required for graduation	§300.305(e)(2)

### Arizona Revised Statutes (A.R.S.), Title 15: Education, Chapter 7: Instruction

<b>Topic</b>	<b>A.R.S. Reference</b>
Evaluation of student for placement in special education	§15-766

### Arizona Administrative Code (A.A.C.), Title 7: Education, Article 4: Special Education

<b>Topic</b>	<b>A.A.C. Reference</b>
Evaluation and reevaluation	R7-2-401.E

## Appendix C

### Citations for Individual Categories of Disability

<b>Category of Disability</b>	<b>IDEA '04 Regulations</b>	<b>Arizona Revised Statutes</b>
Autism	§300.8(c)(1)	§15-761.1
Emotional Disability	§300.8(c)(4)	§15-761.7
Hearing Impairment	§300.8(c)(3)(5)	§15-761.8
Mild Intellectual Disability	§300.8(c)(6)	§15-761.14
Moderate Intellectual Disability	§300.8(c)(6)	§15-761.15
Multiple Disabilities	§300.8(c)(7)	§15-761.17
Multiple Disabilities with Severe Sensory Impairment	§300.8(c)(2)(7)	§15-761.18
Orthopedic Impairment	§300.8(c)(8)	§15-761.19
Other Health Impairment	§300.8(c)(9)	§15-761.20
Developmental Delay	§300.8(b)(1)	§15-761.3
Preschool—Severe Delay	§300.8(b)(1)	§15-761.24
Severe Intellectual Disability	§300.8(c)(6)	§15-761.29
Speech/Language Impairment	§300.8(c)(11)	§15-761.34
Traumatic Brain Injury	§300.8(c)(12)	§15-761.38
Visual Impairment	§300.8(c)(13)	§15-761.39



# Appendix D

## Response to Intervention/Multi-tiered System of Supports (RTI/MTSS)

### *Pre-referral Interventions\**

Once a student is identified as having difficulty in progressing or achieving in any areas of expected growth or learning (academic, social/emotional, behavioral, cognitive, language, or motor skills), the student should be referred for intervention. This intervention may be in the form of a student-study/teacher-assistance team, the RTI process (see the following page), or some other systemic method for providing early intervening services to assist the student in attaining expected learning or behavioral growth. This initial process is called pre-referral intervention.

The goal of pre-referral intervention is to provide appropriate, targeted strategies and interventions to improve the student's rate of learning. This process, available to any student through age 21, usually involves general education staff as the primary source of the intervention and uses some system of progress monitoring. When the process is successful, the student gains the targeted skills and continues to progress in the general classroom without needing additional evaluation or special education. To be valid, pre-referral intervention strategies must involve interventions that are based on peer-reviewed research. The purpose of pre-referral intervention is underscored in the IDEA requirements for determination of eligibility (§300.306):

*A student must not be determined to be a student with a disability under this part if the determinant factor for that determination is:*

- *Lack of appropriate instruction in reading, including the essential components of reading instruction;*
- *Lack of appropriate instruction in math; or*
- *Limited English proficiency.*

**\*Note:** The IDEA is also clear that pre-referral interventions should not cause undue delay for referral when a student appears to be in need of special education and/or related services. ([Letter to Combs](#); [Compton Unified School District v Addison](#); [Memorandum to: State Directors of Special Education](#))

## ***Response to Intervention/Multi-Tiered Systems of Supports (RTI/MTSS)***

(§300.307–300.311)

Response to intervention/multi-tiered system of supports, in its broadest sense, is a multi-tiered early intervention model for supporting school success for all students. This model involves school professionals' conducting focused assessments to enable them to prescribe appropriate interventions.

This process identifies students' specific instructional needs; provides targeted scientific, research-based interventions based on the needs identified; uses progress monitoring to measure students' response to interventions and verify the effectiveness of the interventions; and measures students' success in achieving academic or behavioral standards. An important part of RTI/MTSS is involving parents in understanding their students' instructional needs for academic and/or behavioral interventions.

While the IDEA only addresses the use of RTI/MTSS in determining the existence of a specific learning disability, the process is highly effective for intervening with any concern involving academics or behavior prior to the consideration of an individual evaluation to determine eligibility for special education. Thus, the use of an RTI/MTSS process is highly recommended as an *intervention strategy*.

# Appendix E

## Glossary of Terms

### **Accommodations**

Provisions made to allow a student to access and demonstrate learning. These do not substantially change the instructional level, the content, or the performance criteria. The changes are made to provide the student equal access to learning and equal opportunities to demonstrate knowledge.

### **Adaptations**

Changes made to the environment, curriculum, instruction, and/or assessment practices for a student to be a successful learner. Adaptations include accommodations and modifications. Adaptations are based on an individual student's strengths and needs.

### **Assistive Technology Device**

Any item, piece of equipment, or product system, whether acquired commercially off the shelf, modified, or customized, that is used to increase, maintain, or improve functional capabilities of a student with a disability. The term does not include a medical device that is surgically implanted or the replacement of such a device.

### **Assistive Technology Service**

Any service that directly assists a student with a disability in the selection, acquisition, or use of an assistive technology device, such as the evaluation of the needs of the student including: a functional evaluation in the student's customary environment; purchasing or leasing assistive technology devices; selecting, designing, fitting, customizing, adapting, applying, maintaining, repairing, or replacing assistive technology devices; coordinating and using other therapies, interventions, or services with assistive technology devices; training or technical assistance for the student or that student's family; and training or technical assistance for professionals, employers, or other individuals who provide services to, employ, or are otherwise substantially involved in the major life functions of that student.

### **Cultural Disadvantage**

Examples of cultural disadvantage to consider include language, values/expectations, and/or parental involvement.

### **Economic Disadvantage**

Examples of economic disadvantage to consider include issues of income and poverty, involvement with other social agencies, family history, family illness, natural economic disasters, and/or lack of community resources.

### **Educational Disadvantage**

Examples of educational disadvantage to consider include poor school attendance, number of schools attended, retentions, teaching effectiveness, student-teacher relationships, lack of preschool services, and/or lack of community resources.

### **Educational History**

Educational history examples include previous schools attended, retentions, previous grades, discussions of previous interventions, discussions of previous evaluation results, comments from current teacher(s), and/or attendance patterns.

### **Educationally Relevant Medical Information and Developmental History**

Examples of educationally relevant medical information and developmental history include pregnancy and delivery, developmental milestones, hospitalizations, explanations of visual–auditory history (vision and hearing screenings, glasses, hearing aids, auditory trainer), fine/gross motor status, prenatal conditions, accidents, illnesses, injuries, medical conditions, and/or medications (current, significant medications, history).

### **Environmental**

Examples of environmental considerations include socioeconomic status, community experience, family history, and/or family mobility.

### **Evaluation Report**

Complete documentation of the evaluation process to include the review of existing data and eligibility determinations.

### **Family History**

Examples of family history include family structure and recent changes in family structure, occupation of parents, education level of parents, number of and age(s) of siblings, histories of disabilities, birth defects, etc., determination of primary language of home/student and how the determination was made, and/or other relevant cultural issues.

### **Lack of Instruction**

Examples of lack of instruction may include a lack of appropriate instruction in reading or math, including the essential elements of reading, or lack of a consistent curriculum linked to the Arizona standards.

### **Limited English Proficiency**

Limited English proficiency means that English is not the native/primary language of the student and that the student has difficulties in English language comprehension and/or expression because of second language learning issues.

### **Modifications**

Substantial changes in what a student is expected to learn and to demonstrate. Changes may be made in the instructional level, the content, or the performance criteria. Such changes are made to provide a student with meaningful and productive learning experiences, environments, and assessments based on individual needs and abilities.

### **Observations**

Formal and informal documentation of student performance. Examples include the following:

#### ***General Observations***

Examples of observations completed by teachers, related service providers, parents, and/or other members of school staff could include informal reflections on a student's performance and/or formal observations completed in a structured setting. Observations could include

numbers in a learning group, subject matter of the instruction, the behavior of the student as compared to peers in class, and/or the relationship of the behavior to academic functioning.

### ***Observations during Testing***

Examples of observations during testing could include characteristic(s) or behavior(s) that may have an impact on the evaluation process or results.

### ***Observations in Other Settings***

Examples of observations in other settings could include activity level (calm, hyperactive, reticent, persistent, gives up easily, etc.), attention (adequate, interested, easily distracted, situational, etc.), maturity, and adult relationships (friendly, hostile, indifferent, silly, etc.).

### ***Interviews/Reviews of Records***

Examples of interviews or review of records could include a discussion as to how these interviews/records impact the student in the learning environment.

### **Reason for Referral**

Examples of reasons for referral include the initiation of referral (who? what? why?), the reasons (reevaluation, specific skill deficits), and the suspected area(s) of disability.

### **Surrogate Parent**

A surrogate parent for special education is an individual appointed by the Arizona Department of Education or a court of competent jurisdiction to ensure that a student's rights are protected when the student's parents are unable to do so. Schools are required to ensure the appointment of a surrogate parent for a student with a disability if any of the following are true:

- No parent can be identified
- After having made reasonable attempts, the school cannot determine the parents' whereabouts
- The student is a ward of the state and a parent cannot be identified or a school cannot determine the location of a parent after having made reasonable attempts. The student is an unaccompanied homeless youth as defined in the McKinney-Vento Homeless Assistance Act

In order to be eligible to serve as a surrogate parent, the person must meet the following criteria:

1. must possess adequate knowledge and skills to represent the student,
2. may not be an employee of a state agency involved in the education or care of the student,
3. may not have an interest that would conflict with the student's best interest, and
4. must have a valid fingerprint clearance card issued by the Arizona Department of Public Safety.

# Appendix F

## Guidance Grid for Compliance and Best Practice

The following document provides guidance on the evaluation process. Each evaluation requirement that is monitored is listed, and the list is divided into three descriptive sections

### Noncompliance

### Compliance

### Best Practice

**Noncompliance** describes what will not meet indicator requirements and will result in an “out” call during monitoring.

**Compliance** will be considered “in” during monitoring and meet the requirements of the IDEA, Arizona Revised Statutes, and Arizona State Board Rules. While these descriptions can be identified as meeting requirements, they may leave the PEA vulnerable to IEP team confusion and disagreement, opening the door to possible state complaints and/or due process complaints. In addition, FAPE may be called into question, in which case the situation could include compensatory education services.

**Best Practice** descriptions meet all of the criteria of a compliant example and in addition, provide information to assist PEAs in potentially avoiding confusion, disagreement, or more significant issues. *These items may require little more from the PEAs and the IEP teams to assure student success.*

In some instances, the examples for **compliance** and **best practice** are identical.

## Evaluation Process

1. Evaluation is current.		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no current evaluation dated within 3 years of the date of the file review.</li> <li>• There is no evaluation.</li> <li>• There is no documentation of a waiver, and there is not a current evaluation.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is a current evaluation dated within 3 years of the date of the file review.</li> <li>• There is documentation of agreement to waive a reevaluation, and there is a previous evaluation.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is a current evaluation dated within 3 years of the date of the file review.</li> <li>• There is documentation of agreement to waive a reevaluation, and there is a previous evaluation.</li> </ul>
2. Parent provided current information during the review of existing data.		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no evidence that the parent or adult student provided any input during the review of existing data.</li> <li>• The parent or adult student was not a member of the team that reviewed existing data and there is no evidence that attempts were made to allow the opportunity and/or there is no evidence that input was provided through an alternate means.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• Parent or adult student provided information during the review of existing data.</li> <li>• For a reevaluation, there are documented attempts to obtain information from parent(s) or adult student even though they were not part of the team to review existing data.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• Parent(s) or adult student has included a written statement.</li> <li>• A questionnaire completed by parent(s) or adult student is included.</li> <li>• An e-mail from parent(s) is included that provides information about the student.</li> <li>• There is direct input from the student.</li> </ul>
3. Current classroom-based assessments were included in the review of existing data.		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no evidence of quantitative data shared by the classroom teacher included in the review of existing data.</li> <li>• There is not any academic data (grades, district assessment performance, formative assessment data) included in the review of existing data</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• The review of existing data includes classroom-based data provided by the student's teacher(s) (formative assessment data, district assessment data, any quantitative data).</li> <li>• There is evidence that the classroom teacher(s) provided quantitative data as part of the review of existing data.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is quantitative information documented from all teachers that work with the student (ex.: general education, special education, specials, electives).</li> </ul>

<b>4. Observations by teachers and related service providers were included in the review of existing data.</b>		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no qualitative classroom data (work habits, organizational skills, motivation, etc.) included in the review of existing data.</li> <li>• There is no qualitative data shared by classroom teacher(s) or related service provider as part of the review of existing data.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• The review of existing data includes qualitative classroom data (work habits, organizational skills, motivation, etc.) shared by the student’s teacher(s) or related service provider.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is qualitative data documented from all teachers and related service providers that work with the student (ex.: general education, special education, specials, electives).</li> </ul>
<b>5. Statewide assessment data was included in the review of existing data.</b>		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no evidence that state assessment data for the student were included as part of the review of existing data.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• The student’s performance on statewide assessments was included in the review of existing data.</li> <li>• When the student is a transfer student and the PEA was not able to obtain assessment data, this information was documented in the review of existing data.</li> <li>• The student was not in a grade in which statewide assessments were administered, and this is noted in the review of existing data.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• The student’s performance on statewide assessments was included in the review of existing data.</li> <li>• When the student is a transfer student and the PEA was not able to obtain assessment data. This information was documented in the review of existing data.</li> <li>• The student was not in a grade in which statewide assessments were administered, and this is noted in the review of existing data.</li> </ul>
<b>6. Team determined whether additional data were needed or not needed.</b>		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no evidence of the team’s determination whether to collect or not collect additional data.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is evidence that the team determined that additional data were needed or not needed.</li> <li>• There is evidence that the team discussed existing data and made a determination that additional data were needed or</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is evidence that the team determined that additional data were needed or not needed.</li> <li>• There is evidence that the team discussed existing data and made a determination that additional data were</li> </ul>



	not needed following the review of existing data.	needed or not needed following the review of existing data.
<b>7. Parents were informed of their right to request additional data when the team determined no additional data were needed.</b>		
<b>Noncompliance</b> <ul style="list-style-type: none"> <li>• There is no evidence that parents were informed of the decision not to collect additional data and of their right to request additional data.</li> </ul>	<b>Compliance</b> <ul style="list-style-type: none"> <li>• There is evidence that the parents were informed of the team’s decision not to collect additional data and of the parents’ right to request additional data (evidence includes a parent signature, initials, etc.).</li> </ul>	<b>Best Practice</b> <ul style="list-style-type: none"> <li>• There is documentation that includes a parent signature acknowledging that the parent was informed of the right to request additional data.</li> </ul>
<b>8. Informed parental consent was obtained for collection of additional data.</b>		
<b>Noncompliance</b> <ul style="list-style-type: none"> <li>• There is no documentation that parental consent was obtained prior to the initiation of the assessments.</li> <li>• Parental consent is evident, but there is not an explanation of what assessment data would be collected.</li> </ul>	<b>Compliance</b> <ul style="list-style-type: none"> <li>• Parental consent is evident, and the assessment data to be collected is included.</li> <li>• For a reevaluation, although consent was not obtained, attempts were made to obtain consent, and those attempts were documented.</li> <li>• The student transferred in with a current evaluation, and there is no evidence of parental consent as part of the transfer record.</li> </ul>	<b>Best Practice</b> <ul style="list-style-type: none"> <li>• Parental consent is evident, and the assessment data to be collected is included.</li> <li>• For a reevaluation, although consent was not obtained, attempts were made to obtain consent, and those attempts were documented.</li> <li>• The student transferred in with a current evaluation, and there is no evidence of parental consent as part of the transfer record.</li> </ul>
<b>9. Student was assessed in all areas of suspected disability.</b>		
<b>Noncompliance</b> <ul style="list-style-type: none"> <li>• Any concern that surfaced through the review of existing data and/or the pre-referral process was not addressed.</li> <li>• For preschool, not all 5 developmental domains were addressed.</li> <li>• Problems identified through</li> </ul>	<b>Compliance</b> <ul style="list-style-type: none"> <li>• All concerns brought up in the review of existing data and/or the pre-referral process were addressed through the evaluation.</li> <li>• For preschool, all 5 domains were addressed.</li> <li>• If additional data were not</li> </ul>	<b>Best Practice</b> <ul style="list-style-type: none"> <li>• All concerns brought up in the review of existing data and/or the prereferral process were addressed through the evaluation.</li> <li>• For preschool, all 5 domains were addressed.</li> <li>• If additional data were not</li> </ul>

<p>vision and hearing screenings were not resolved and not addressed as part of the evaluation process.</p>	<p>collected, all concerns that surfaced through the review of existing data were addressed.</p> <ul style="list-style-type: none"> <li>• Problems with vision and hearing were resolved prior to the school's conducting assessments, and/or assessment tools were chosen to address these concerns.</li> </ul>	<p>collected, all concerns that surfaced through the review of existing data were addressed.</p> <ul style="list-style-type: none"> <li>• Problems with vision and hearing were resolved prior to the school's conducting assessments, and/or assessment tools were chosen to address these concerns.</li> </ul>
<p><b>10. Upon review of all data, team documented the impact of the disability, specifically, how it impacted progress in the general education curriculum.</b></p>		
<p><b>Nocompliance</b></p> <ul style="list-style-type: none"> <li>• There is no documentation of how the disability impacted progress in the general education curriculum.</li> <li>• The student was eligible in multiple areas, and only one area had documentation of the impact on progress in the general education curriculum.</li> <li>• There is documentation of the impact of the disability, but it is not evident that this occurred <i>after</i> the review of all data.</li> <li>• There is documentation of the impact of the disability on progress, but it was not individualized or was a boilerplate statement</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is clear documentation of how each disability impacted the student's progress in the general curriculum, <b>and</b> it was evident that this occurred <i>after</i> the review of all data.</li> <li>• For a preschool student, there is documentation related to the general developmental progress of the child.</li> <li>• The information documented was specific to the student and individualized.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is clear documentation of how each disability impacted the student's progress in the general curriculum, <b>and</b> it was evident that this occurred <i>after</i> the review of all data.</li> <li>• For a preschool student, there is documentation related to the general developmental progress of the child.</li> <li>• The information documented is specific to the student and individualized.</li> <li>• The documentation described the student's disability, as well as the specific impact of the disability(ies) on the student's ability to progress in the general curriculum.</li> </ul>
<p><b>11. Upon review of all data, team documented the educational needs of the student to access the general education curriculum.</b></p>		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no documentation of the student's educational needs.</li> <li>• There is documentation included, but it was not</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is documentation of the student's educational needs, and it was evident that this occurred <i>after</i> the review of all data.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is documentation of the student's educational needs, and it was evident that this occurred <i>after</i> the review of all data.</li> </ul>

<p>individualized, was not specific to the student, or was only a list of accommodations.</p> <ul style="list-style-type: none"> <li>• There is documentation, but it was not evident that the decisions occurred <i>after</i> the review of all data.</li> </ul>	<ul style="list-style-type: none"> <li>• The documentation is more extensive than a list of accommodations.</li> <li>• The documentation is specific to the student and individualized for the student's needs.</li> </ul>	<ul style="list-style-type: none"> <li>• The documentation was more extensive than a list of accommodations.</li> <li>• The documentation was specific to the student and individualized for the student's needs.</li> <li>• The documentation states the specific educational needs for the student to be able to access the general education curriculum—these are specific to the student's disability(ies).</li> </ul>
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**12. For reevaluations only: Team documented whether any additions or modifications were needed for the student's program *after* review of all data.**

<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no documentation related to additions or modifications to the program.</li> <li>• There is no documentation as to whether any programmatic changes were needed for the student or not.</li> <li>• There is documentation of additions, modifications, or changes, but it was not evident that the decisions occurred <i>after</i> the review of all data.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is documentation of the student's rate of progress and what modifications to the student's program may have been needed.</li> <li>• There is documentation that additions, changes, or modifications to the student's program were not needed.</li> <li>• The evaluation was not a reevaluation, so this component does not apply.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is documentation of the student's rate of progress and what modifications to the student's program may have been needed.</li> <li>• There is documentation that additions, changes, or modifications to the student's program were not needed.</li> </ul>
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**13. Upon review of all data, the team's determination of a specific category of disability was documented.**

<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no evidence of a category of eligibility being determined.</li> <li>• There is evidence of a determination of a category of eligibility being made, but it did not indicate that the determination was made by a</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is evidence of the team's determination of a specific category of eligibility.</li> <li>• It is evident that this determination was made based on multiple sources of data.</li> <li>• It is evident that this</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is evidence of the team's determination of a specific category of eligibility.</li> <li>• It is evident that this determination was made based on multiple sources of data.</li> <li>• It is evident that this</li> </ul>
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<p>team.</p> <ul style="list-style-type: none"> <li>• There is evidence of a team determination, but it was based on only one piece of information.</li> <li>• There is evidence of a team determination of a specific category of eligibility, but it was not evident that the determination occurred after the review of all data.</li> </ul>	<p>determination occurred after the review of all data.</p>	<p>determination occurred after the review of all data.</p> <ul style="list-style-type: none"> <li>• The PWN documented the team’s determination of a specific category of disability and stated what the category was.</li> </ul>
<p><b>14. Upon review of all data, whether the student was in need of special education and/or related services was documented.</b></p>		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• There is no documentation that the student was in need of special education and/or related services.</li> <li>• There is evidence that the student was in need of special education and/or related services, but it is not evident that this was determined after the review of all data.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• There is documentation that the team determined the student was in need of special education and/or related services.</li> <li>• There is evidence that this determination was made after the review of all data.</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• There is documentation that the team determined that the student was in need of special education and/or related services.</li> <li>• There is evidence that this determination was made after the review of all data.</li> </ul>
<p><b>15. Initial evaluation was completed within 60 calendar days.</b></p>		
<p><b>Noncompliance</b></p> <ul style="list-style-type: none"> <li>• The initial evaluation was completed, but the time exceeded 60 calendar days.</li> <li>• The initial evaluation was completed and there was an extension, but the time exceeded 90 calendar days.</li> </ul>	<p><b>Compliance</b></p> <ul style="list-style-type: none"> <li>• The initial evaluation was completed within 60 calendar days.</li> <li>• The initial evaluation was completed within 90 calendar days, and there was an agreed-upon extension.</li> <li>• The student transferred from another district after the evaluation was already started in the previous district.</li> <li>• The parents refused to</li> </ul>	<p><b>Best Practice</b></p> <ul style="list-style-type: none"> <li>• The initial evaluation was completed within 60 calendar days.</li> <li>• The initial evaluation was completed within 90 calendar days, and there was an agreed-upon extension.</li> <li>• Documentation that the student transferred from another district after the evaluation was already started in the previous district</li> </ul>

	present the student for the evaluation, and this refusal was documented.	<ul style="list-style-type: none"><li>• Documentation that the parents refused to present the student for the evaluation.</li></ul>
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